Light sterile neutrinos

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Description of neutrino oscillations (I)

• Two bases: gauge $|v_{\alpha}\rangle$, $\alpha = e, \mu, \tau$ and mass $|v_i\rangle$, i = 1, 2, 3

 $|v_i\rangle = U_{\alpha i} |v_{\alpha}\rangle$ with unitary PMNS 3 × 3 matrix $U_{\alpha i}$

• Neutrino mass matrix is then

$$M_{lphaeta} = \langle v_{lpha} | M | v_{eta}
angle = (UM^{(m)}U^{\dagger})_{lphaeta}$$
, where $M_{ij}^{(m)} = m_i \delta_{ij}$.

• Free neutrino evolution in time and space

 $|v_j(t)\rangle = e^{-im_jt}|v_j(0)\rangle \quad \rightarrow \quad |v_j(t,L)\rangle = e^{-i(E_jt-p_jL)}|v_j(0)\rangle ,$

in ultrarelativistic case \longrightarrow Hamiltonian

$$p_j = \sqrt{E^2 - m_j^2} = E - \frac{m_j^2}{2E} \rightarrow |v_j(L)\rangle = e^{-i\frac{m_j^2}{2E}L} |v_j(0)\rangle.$$

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Description of neutrino oscillations (II)

Neutrino effective Hamiltonian

$$|v_j(L)\rangle = \mathrm{e}^{-i \frac{m_j^2}{2E}L} |v_j(0)\rangle \quad \rightarrow H_{eff} = \frac{M^2}{2E}$$

• Transition amplitude of neutrino v_{α} to neutrino v_{β} is

$$\mathcal{A}(\alpha \to \beta) = \sum_{j} \langle \mathbf{v}_{\beta} | \mathbf{v}_{j}(L) \rangle \langle \mathbf{v}_{j}(0) | \mathbf{v}_{\alpha} \rangle = \sum_{j} \langle \mathbf{v}_{\beta} | \mathbf{v}_{j} \rangle \mathrm{e}^{-i\frac{m_{j}^{2}}{2E}L} \langle \mathbf{v}_{j} | \mathbf{v}_{\alpha} \rangle = \sum_{j} U_{\beta j} \mathrm{e}^{-i\frac{m_{j}^{2}}{2E}L} U_{\alpha j}^{*}$$

• Transition probability
$$\Delta m_{ji}^2 \equiv m_j^2 - m_j^2$$

$$\begin{split} \mathcal{P}(\mathbf{v}_{\alpha} \rightarrow \mathbf{v}_{\beta}) &= |\mathcal{A}(\alpha \rightarrow \beta)|^{2} \\ &= \delta_{\alpha\beta} - 4 \sum_{j>i} \operatorname{Re}[U_{\alpha j}^{*} U_{\beta j} U_{\alpha i} U_{\beta i}^{*}] \sin^{2} \left(\frac{\Delta m_{j i}^{2}}{4E} L\right) \\ &+ 2 \sum_{j>i} \operatorname{Im}[U_{\alpha j}^{*} U_{\beta j} U_{\alpha i} U_{\beta i}^{*}] \sin \left(\frac{\Delta m_{j i}^{2}}{2E} L\right) \,, \end{split}$$

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Description of neutrino oscillations (III)

• Two-neutrino oscillations:

transition probability

$$P(\mathbf{v}_{\alpha} \rightarrow \mathbf{v}_{\beta \neq \alpha}) = \sin^2 2\theta \cdot \sin^2 \left(\frac{\Delta m^2}{4E} L \right) ,$$

• Two-neutrino oscillations:

survival probability

$$P(\mathbf{v}_{\alpha} \rightarrow \mathbf{v}_{\alpha}) = 1 - \sin^2 2\theta \cdot \sin^2 \left(\frac{\Delta m^2}{4E}L\right)$$

Oscillation length

$$L_{osc} = \frac{4\pi E}{\Delta m^2} = (2.5 \text{ km}) \cdot \frac{E}{\text{GeV}} \frac{\text{eV}^2}{\Delta m^2}$$

Neutrino matter effect

Mikheev-Smirnov-Wolfenstein effect



Fermi charged currents

 $\mathscr{L} = -2\sqrt{2}G_F\bar{v}_e\gamma^\mu e\cdot\bar{e}\gamma_\mu v_e$

only matter, no currents

$$\begin{split} \langle \langle \bar{\mathbf{e}}_k \gamma_{kl}^0 \mathbf{e}_l \rangle \rangle &= \langle \langle \mathbf{e}^{\dagger} \mathbf{e} \rangle \rangle = \mathbf{n}_{\mathbf{e}}, \\ \langle \langle \bar{\mathbf{e}}_k \gamma_{kl}^j \mathbf{e}_l \rangle \rangle &= \mathbf{0}. \\ \langle \langle \mathbf{e}_k \bar{\mathbf{e}}_l \rangle \rangle &= -\frac{1}{4} \gamma_{kl}^0 \cdot \mathbf{n}_{\mathbf{e}} \end{split}$$

Fermi interaction gives

$$\mathscr{L}_{eff} = -\sqrt{2}G_F n_e \bar{v}_e \gamma^0 v_e.$$

 $i\gamma^0\partial_0 \rightarrow i\gamma^0\partial_0 - \sqrt{2}G_F n_e\gamma^0$, effective potential

$$i\partial_0 - V$$
, with $V = \sqrt{2}G_F n_e$

competes with $H_{eff} = \Delta m^2/2E$

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Neutrino oscillations: masses and mixing angles

Solar 2×2 "subsector"

Atmospheric 2×2 "subsector"





http://hitoshi.berkeley.edu/neutrino/ $m_{sol}^2 \approx 7.4 imes 10^{-5} \, eV^2$

 $m_{atm}^2\approx 2.5\times 10^{-3}\,eV^2$

DAYA-BAY, RENO, T2K: $\sin^2 2\theta_{13} \approx 0.08$

Physics behind the neutrino oscillations is still elusive



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Direct searches for m_v : cut in *e*-spectrum

 $ext{T}
ightarrow \ ^{3} ext{He} \ + m{e} + ar{v}_{m{e}} \ (pnn)
ightarrow (ppn) + m{e} + ar{v}_{m{e}}$





INR RAS, 1990-2000 years: $m_{ar{v}_e} \lesssim 2 \,\mathrm{eV}$



Mainz, 2000... :

 $m_{ar{v}_e} \lesssim 2\,\mathrm{eV}$

present limits from KATRINE $m_{\overline{v}_e} \lesssim 1 \ {
m eV}$

similarly: $m_{ar{v}_e} \lesssim 17 \, \mathrm{keV}$, $m_{ar{v}_e} \lesssim 17 \, \mathrm{MeV}$

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Cosmological limits: sub-eV scale... 12 years ago!!



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Physics behind the neutrino oscillations is still elusive

nature of neutrino mass: Dirac vs Majorana?



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Physics behind the neutrino oscillations is still elusive

- nature of neutrino mass (Dirac vs Majorana)
- neutrino mass hierarchy
- CP-violation
- may be relevant for the matter-antimatter asymmetry
- neutrino anomalies ask for larger mass splitting

do not fit to 3v

$$m_{
m sol}^2 \ll m_{
m atm}^2 \ll m_{
m anom}^2 \simeq 1\,{
m eV}^2$$

- LSND \rightarrow MiniBooNE
- SAGE & GALLEX: gallium anomaly
- reactor antineutrinos → DANSS, NEUTRINO-4

appearance disappearance disappearance



These issues must be fixed before suggesting *v* as a tool

- Explore entire structures of Earth and Sun
- Investigate the SN explosion mechanism
- Monitor nuclear reactors (nuclear power plants, etc)

• . . .

New Physics can interfere if its scale is low



Sterile neutrinos: NEW ingredients

One of the optional physics beyond the SM:

sterile:new fermions uncharged under the SM gauge groupneutrino:explain observed oscillations by mixing with SM (active)neutrinos

Attractive features:

- possible to achieve within renormalizable theory
- only N = 2 Majorana neutrinos needed
- baryon asymmetry via leptogenesis
- dark matter (with $N \ge 3$ at least)
- light(?) sterile neutrinos might be responsible for neutrino anomalies...?

Disappointing feature:

Major part of parameter space is UNTESTABLE

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Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With $m_{active} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathscr{L}_{N} = \overline{N}i\partial N - f\overline{L}_{e}^{c}\widetilde{H}N - \frac{M_{N}}{2}\overline{N}^{c}N + \text{h.c.}$$

Higgs gains $\langle H \rangle = v / \sqrt{2}$ and then

$$\mathscr{V}_{N} = \frac{1}{2} \left(\overline{v}_{e}, \overline{N}^{c} \right) \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_{N} \end{pmatrix} \begin{pmatrix} v_{e} \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy $M_N \gg M^D = v \frac{f}{\sqrt{2}}$ we have

flavor state $v_e = Uv_1 + \theta N$ with $U \approx 1$ and

active-sterile mixing:
$$\theta = \frac{M^D}{M_N} = \frac{v f}{2M_N} \ll 1$$

and mass eigenvalues

$$\approx M_N$$
 and $-m_{active} = \theta^2 M_N \ll M_N$

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Violation of L, C and CP symmetries

$$\mathscr{L}_N = \overline{N}i\partial N - f\overline{L}_e^c \widetilde{H}N - \frac{M_N}{2}\overline{N}^c N + \text{h.c.}$$

- f = 0 \longrightarrow free fermion, no need to call 'sterile'
- $M_N = 0 \longrightarrow N$ and v form pure Dirac neutrino, the most boring case, worth than we have with the Higgs boson one may refuse to call it 'new physics'
- $f \neq 0$, $M_N \neq 0 \longrightarrow$ introduces new massive parameter, violates lepton symmetry *L* (and *C*- and *CP*-symmetry with several *N*'s)



Sterile neutrino: a vast region of mass

Within the seesaw paradigm, as far as

$$m_a \sim rac{f^2 v^2}{M_N^2} M_N \sim heta^2 M_N$$

Any set (mass scale M_N , Yukawa coupling f) is viable

And with special tunning or symmetry larger (but not smaller) mixing 3 sterile neutrinos is viable

$$\hat{m}_a \sim \hat{f}^T rac{1}{\hat{M}_N} \hat{f} v^2$$

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Sterile neutrino mass scale: $\hat{M}_v = -v^2 \hat{f}^T \hat{M}_N^{-1} \hat{f}$

NB: With fine tuning in \hat{M}_N and \hat{f} we can get a hierarchy in sterile neutrino masses, and 1 keV and even 1 eV sterile neutrinos





Disclaimer

- There are no any direct indication of the sterile neutrino scale
- In what follows we consider light, $m \sim 1 \text{ eV}$ sterile neutrinos Neutrino anomalies
- No solid theoretical motivations for this scale, $M_N \sim m_v$ May be except Mirror World concept...?
- $2 \leftrightarrow 2$ oscillations are enough
- Could be not exactly sterile: non-minimal models of neutrino mixing can fit to this scheme as well



Light sterile neutrinos and cosmology

• Analysis of CMB & LSS (e.g., Planck, SDSS): Mixing $\theta \sim 0.1$ -1, mass $\sim 1 \text{ eV}$ NONE (or, may be, one)

- there are 2σ discrepancies in H_0 , σ_8 , lensing, ... small scale crisis, SPT vs Planck, ...

 Explanation of the combined anomalous results needs TWO or MORE

- of course, some anomalies may be just anomalies...

 Production in the early Universe can be efficiently suppressed, e.g., by scalar field

$$\mathscr{L} = \phi \bar{N}^c N + \text{h.c.}$$

or if the reheating scale is low, $T_{reh} \sim 10 \, \text{MeV}$

Description of neutrino oscillations

Oscillation length

small $L_{osc} \leftrightarrow big \Delta m$

$$L_{osc} = \frac{4\pi E}{\Delta m^2} = (2.5 \text{ m}) \cdot \frac{E}{\text{MeV}} \frac{\text{eV}^2}{\Delta m^2}$$

Oscillation probability:

$$P(\mathbf{v}_{\alpha} \to \mathbf{v}_{\beta}) = \left| \delta_{\alpha\beta} - \sin^{2} 2\theta_{\alpha\beta} \sin^{2} \left(\frac{L \Delta m_{41}^{2}}{4E} \right) \right|, \quad \sin^{2} 2\theta_{\alpha\beta} = 4 \left| U_{\alpha4} \right|^{2} \left| \delta_{\alpha\beta} - \left| U_{\beta4} \right|^{2} \right|$$

transition probability

$$P(\mathbf{v}_{\alpha} \to \mathbf{v}_{\beta \neq \alpha}) = \sin^2 2\theta_{\alpha\beta} \sin^2 \left(\frac{L \Delta m_{41}^2}{4E}\right), \quad \sin^2 2\theta_{\alpha\beta} = 4 \left| U_{\alpha4} \right|^2 \left| U_{\beta4} \right|^2$$

survival probability

disappearance

appearance

$$P(\mathbf{v}_{\alpha} \to \mathbf{v}_{\alpha}) = 1 - \sin^2 2\theta_{\alpha\alpha} \cdot \sin^2 \left(\frac{\Delta m_{41}^2}{4E}L\right), \quad \sin^2 \theta_{\alpha\alpha} = |U_{\alpha4}|^2$$

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M N

LSND-anomaly: appearance, $\bar{v}_{\mu} \rightarrow \bar{v}_{e}$



LSND (1993-1998): production by 798 MeV protons

$$\pi^+
ightarrow \mu^+ v_\mu \,, \,\, \mu^+
ightarrow e^+ v_e \, ar v_\mu$$

detection via inverse beta decay (IBD)

$$\bar{v}_e + p \rightarrow n + e^+$$

 3.8σ effect transition probability

 $(2.64\pm0.67\pm0.45)\times10^{-3}$

sterile neutrino mass

 $\Delta m \sim 1 \, {
m eV}$

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MiniBooNE anomalies (2011) ... $\bar{v}_{\mu} \rightarrow \bar{v}_{e}, v_{\mu} \rightarrow v_{e}$



MiniBooNE anomalies (2018) ... $\bar{v}_{\mu} \rightarrow \bar{v}_{e}, v_{\mu} \rightarrow v_{e}$

1805.12028



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MiniBooNE anomalies (2018) ... $\bar{v}_{\mu} \rightarrow \bar{v}_{e}, v_{\mu} \rightarrow v_{e}$



Looks like the LSND anomaly is closed ...?



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1607.01177

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Solar neutrinos: fusion $p + p \rightarrow D + e^+ + v_e, \ldots$





Neutrino energy (MeV)

99,77 %

0,23 %

 $p^{+}+e^{-}+p^{+}\rightarrow^{2}H^{+}v_{*}$

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Measurement of the solar neutrino flux by SAGE

Sun: $p + p \rightarrow {}^{2}H + e^{+} + v_{e}$



Earth: $^{71}\text{Ga} + v_e \rightarrow ^{71}\text{Ge} + e^-$



SAGE & GALLEX anomalies in numbers

Sources

 ^{51}Cr ^{37}Ar $E_1 = 0.75 \text{ MeV} (f_1 = 96\%)$ $E_1 = 0.811 \text{ MeV}$ $E_2 = 0.43 \text{ MeV} (f_2 = 4\%)$ $E_2 = 0.813 \text{ MeV}$

Experiments

SAGE source \approx sphere of r = 6.3 cm in the center of spherical vessel $r_1 = 25.3$ cm and $r_2 = 72.6$ cm GALLEX source \approx sphere of r = 0.4 m in the center of spherical vessel $r_1 = 0.45$ m and $r_2 = 2.5$ m

$$R^{th} = \frac{1}{r_2 - r_1} \int_{r_1}^{r_2} dr \left[P(E_1, |\vec{r} - \delta \vec{r}|) f_1 + P(E_2, |\vec{r} - \delta \vec{r}|) f_2 \right]$$

 $\begin{aligned} R_{\text{SAGE}}^{obs} \left({^{51}\text{Cr}} \right) &= 0.93 \pm 0.12 \\ R_{\text{SAGE}}^{obs} \left({^{37}\text{Ar}} \right) &= 0.77 \pm 0.09 \end{aligned}$

$$\begin{split} R_{GALLEX}^{obs} \left({^{51}\text{Cr}} \right) &= 0.93 \pm 0.11 \\ R_{GALLEX}^{obs} \left({^{51}\text{Cr}} \right) &= 0.80 \pm 0.11 \end{split}$$

1710.06326



The combined fit to SAGE+GALLEX





Reactor anomaly: $\bar{v}_e \rightarrow N$?



Deficit due to 6% correction to \bar{v}_e budget

- new nuclear rates
- new neutron life-time:

 $au_n:$ 926 s ightarrow 886 s

However: the value of uncertainty remains the same,

 \sim 3%...

Combined fit to Reactor and Gallium data

Bunch of proposals to test the anomaly...

see 1204.5379

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Reactor anomaly: disappearance $\bar{v}_e \rightarrow N$?

RENO, Daya Bay, Double Chooz

1901.08330

+ unexpected bump at $E_{\bar{\nu}} \simeq 4 \, \text{MeV}$



Reactor anomaly: new comers...new evidence?



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Disappearances of v_e and v_{μ} ...



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Disappearance vs Appearance: rulling out LSND ??



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Reactor anomaly: recent results...

1809.10516

PROSPECT (USA, 2018-...) 85 MW_{th} compact reactor segmented detector covering L = 6-7.5 m measures flux ratios STEREO (France, 2018-...): 58 MW_{th} compact reactor segmented detector covering L = 9.4 - 11.1 m measures flux ratios

NEUTRINO-4 (Russia, 2018-100 MW_{th} extracompact reactor SM-3 (Dimitrovgrad) segmented movable detector L = 6-12 m measures flux ratios best fit

> $\Delta m^2 \simeq 7.2 \,\mathrm{eV}^2$ $\sin^2 2\theta_{ee} \simeq 0.35$



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Consistencey of Gallium and NEUTRINO-4 anomalies



1905.07437

almost 4σ anomaly



Problems with reactor experiments

- finite size ΔL_S of antineutrino source (nuclear reactor) smearing oscillations after averaging over ΔL_S ~ L_{osc}
- finite energy resolution ΔE_D of antineutrino detector smearing oscillations after averaging over ΔE_S ~ L_{osc} DANSS: ΔE/E = 34% at 1 MeV, NEUTRINO-4: ΔE/E = 16% at 1 MeV
- poor shielding of cosmic background low signal-to-background ratio PROSPECT: S/B=1.36, STEREO: S/B=0.9, NEUTRINO-4: S/B=0.54

Monochromatic compact source is needed !!





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Baksan Experiment on Sterile Transition

proposal: 1006.2103, 1204.5379, ... artificial dichromatic source: ⁵¹Cr of 3 MCi ($\Delta W/W < 0.5\%$) cooling system heating system neutrino flux measurment: $^{71}\text{Ga} + v_{e} \rightarrow ^{71}\text{Ge} + e^{-}$ source 2 detector volumes: for the flux cross check R2Ga geometry is chosen: to search for \sim 1 eV neutrino R1 Ga data taking: July-September 2019 $\tau_{51Cr} = 27.7d$ Compressor pumps





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Light sterile neutrinos



If NEUTRINO-4 confirmed



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It will be 5σ discovery



Summary on light sterile neutrinos

- Introducing sterile neutrinos is the most economic explanation of neutrino oscillations within renormalizable approach
- 1 eV- sterile neutrinos are required to explain v anomalies
- with a little modification can be consistent with standard ACDM cosmology
- there are many issues in reactor neutrino anomaly... DANSS changed results (2019)

 $\Delta m^2 = 1.4 \,\mathrm{eV}^2, \ \sin^2 2\theta = 0.05 \longrightarrow \Delta m^2 = 0.35 \,\mathrm{eV}^2, \ \sin^2 2\theta = 0.11$

which is consistent (2σ) with Gallium anomaly

- Neutrino-4 is consistent with Gallium anomaly (together $\approx 4\sigma)$
- BEST is testing all these hypotheses right now final results in 2021

stay tuned

Gallium anomaly: SAGE and GALLEX, $v_e \rightarrow N$?





BEST: $R_1 = 0.791 \pm 0.050, R_2 = 0.766 \pm 0.050$ 2109.11482



2109.14654

$5-\sigma$ evidence for v_s confirmation of Gallium anomaly consistent with NEUTRINO-4

BEST combined with others

2109.14654



5.7- σ combined evidence for v_s

BEST b.f.p. is excluded by reactor neutrino experiments Combined 2- σ region is consistent with all reactor experiments Combined 2- σ region is excluded

from solar neutrinos and from cosmology



Light sterile neutrinos in cosmology

Impact on processes

• Big Bang Nucleosynthesis: increase of expansion rate

$$H^2 = \frac{8\pi}{3} G\rho, \quad \rho = \frac{\pi^2}{30} \left(2 \times T_{\gamma}^4 + 2 \times (3 + \Delta N_{\nu}) \times T_{\nu}^4 \right)$$

with $\Delta N_v > 0$ higher *H* neutrons freeze out earlier giving more Helium

- expansion rate at Equality, $\rho_{rad} = \rho_{mat}$, and at CMB epoch change of CMB anisotropy $-0.34 < \Delta N_v < 0.33$ (95% CL)
- become non-relativistic, but have high velocity free streaming leads to washing out of low-scale perturbations change galaxy spectrum limits on ΔN_v & neutrino masses



Sterile neutrino production in cosmology

$$P(v_{\alpha} \rightarrow v_{s}) = \sin^{2} 2\theta_{\alpha} \cdot \sin^{2} \left(\frac{t}{2t_{\alpha}^{vac}}\right),$$

$$t_{\alpha}^{vac} = \frac{2E_{v}}{\Delta m^{2}}, \quad \Delta m^{2} = m_{s}^{2} - m_{1}^{2} \simeq m_{s}^{2}.$$

$$H = U \cdot \operatorname{diag}\left(\frac{m_{1}^{2}}{2E_{v}}, \frac{m_{2}^{2}}{2E_{v}}\right) \cdot U^{\dagger} + V_{int},$$

$$U = \begin{pmatrix} \cos \theta_{\alpha} & \sin \theta_{\alpha} \\ -\sin \theta_{\alpha} & \cos \theta_{\alpha} \end{pmatrix}, \quad V_{int} = \begin{pmatrix} V_{\alpha\alpha} & 0 \\ 0 & 0 \end{pmatrix}.$$

$$V_{\tau\tau} = -\frac{14\pi}{45\alpha} \sin^{2} \theta_{W} \cos^{2} \theta_{W} \cdot G_{F}^{2} T^{4} \cdot E_{v} \approx -25 \cdot G_{F}^{2} T^{4} \cdot E_{v},$$



Sterile neutrino production in cosmology

$$\begin{split} P(v_{\alpha} \rightarrow v_{s}) &= \sin^{2} 2\theta_{\alpha}^{\text{pl}} \cdot \sin^{2} \left(\frac{t}{2t_{\alpha}^{\text{pl}}}\right), \\ t_{\alpha}^{\text{pl}} &= \frac{t_{\alpha}^{\text{vac}}}{\sqrt{\sin^{2} 2\theta_{\alpha} + (\cos 2\theta_{\alpha} - V_{\alpha\alpha} \cdot t_{\alpha}^{\text{vac}})^{2}}}, \quad \sin 2\theta_{\alpha}^{\text{pl}} = \frac{t_{\alpha}^{\text{pl}}}{t_{\alpha}^{\text{vac}}} \cdot \sin 2\theta_{\alpha}, \\ \frac{\partial}{\partial t} f_{s} - H\mathbf{p} \frac{\partial}{\partial \mathbf{p}} f_{s} &= \frac{1}{4} \Gamma_{\alpha} \sin^{2} 2\theta_{\alpha}^{\text{pl}} f_{\alpha}(t, \mathbf{p}). \\ \Omega_{v_{s}} \simeq 0.2 \cdot \left(\frac{\sin 2\theta_{\alpha}}{10^{-4}}\right)^{2} \cdot \left(\frac{m_{s}}{1 \text{ keV}}\right)^{2}. \end{split}$$

Limits from cosmology

1905.11290





Limits from cosmology

1905.11290



Limits from cosmology

1905.11290



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Backup slides



BBN: extra-radiation and lepton asymmetry

2104.04381





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CMB: 'heavy' neutrinos with long-range force 2101.05804



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CMB: 'heavy' neutrinos with long-range force



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